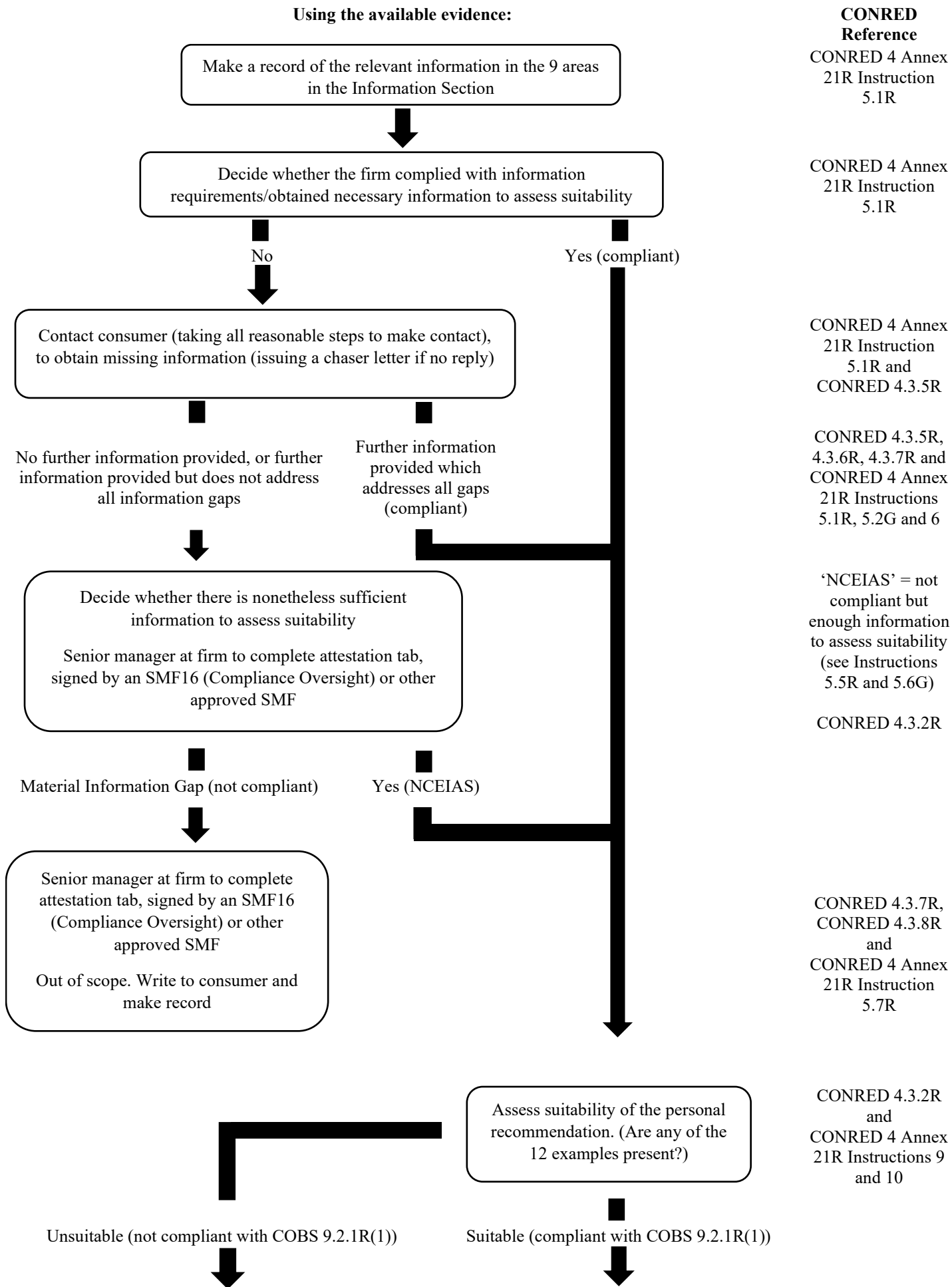


## Steps to complete a BPS DBAAT

Note this diagram should be considered alongside other scheme diagrams

### Using the available evidence:



Answer causation question in Causation Section

Senior manager at firm to complete attestation tab, signed by an SMF16 (Compliance Oversight) or other approved SMF

Redress determination (no loss)

Senior manager at firm to complete attestation tab, signed by an SMF16 (Compliance Oversight) or other approved SMF

CONRED 4.3.2R,  
CONRED 4.3.14R  
and  
CONRED 4 Annex  
21R Instructions 11  
and 12



Redress determination (no loss)

Redress calculation and redress determination

CONRED 4.4 and  
CONRED 4 Annex  
21R Instructions 12